

11/19/01



4048 U.S. PTO

11-28-01

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Please type a plus sign (+) inside this box → ☐PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	6016.37002
First Inventor	Johnnie E. Floyd
Title	LENS ARRANGEMENT WITH FLUID CELL AND PRESCRIPTIVE ELEMENT
Express Mail Label No.	EL 753775695 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **32**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **9**]
5. Oath or Declaration [Total Pages **2**]
- a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- b. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 **22** Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Petition to Make Special

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label  or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Geoffrey A. Mantooth

Registration No. (Attorney/Agent)

32,042

Signature

Date

11/19/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**442.00****Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Johnnie E. Floyd
Examiner Name	
Group Art Unit	
Attorney Docket No.	6016.37002

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
Deposit Account Name

23-2770

Decker, Jones, McMackin,  
McClane, Hall & Bates

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

**SUBTOTAL (1)** (\$)**370.00****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
28	-20** = 8	9	72
3	-3** = 0	42	0
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$)**72.00**

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)**SUBMITTED BY**

Name (Print/Type) Geoffrey A. Mantooth

Registration No.  
(Attorney/Agent)

32,042

**Complete (if applicable)**

Telephone

817-336-2400

Signature

Date

11/19/2001

**WARNING:** Information on this form may become public. Credit card information should not be included in this form. Provide credit card information and authorization in PTO-2038.

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EXPRESS MAIL CERTIFICATE

In Re Patent Application Of

Johnnie E. Floyd

"LENS ARRANGEMENT WITH FLUID CELL

ARRANGEMENT AND PRESCRIPTIVE ELEMENT"

Attorney Docket: 6645.37001

"EXPRESS MAIL" LABEL NO. EL753775735 US  
I HEREBY CERTIFY THAT I DEPOSITED THIS  
CORRESPONDENCE WITH THE UNITED STATES  
POSTAL SERVICE "EXPRESS MAIL POST OFFICE  
TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10  
ADDRESSED TO: BOX FILING DATE, U.S. PATENT  
AND TRADEMARK OFFICE, P.O. BOX 2327,  
ARLINGTON, VA 22202 ON NOVEMBER 26, 2001.

  
\_\_\_\_\_

Items Included:

Statement of United States Postal Service

Refusal to Accept Deposit of Mail

Patent Application Transmittal Cover Sheet

Fee Determination Sheet

Fee Transmittal Sheet

Petition to Make Special

Declaration of Johnnie E. Floyd

Patent Application consisting of  
specification, claims and abstract (32 pages)

9 Sheets Drawings

Declaration and Power of Attorney

Information Disclosure Statement

with 22 cited references

Check for \$442.00

Return Post Card

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----X  
In Re Patent Application of :  
Johnnie E. Floyd :  
LENS ARRANGEMENT WITH FLUID :  
CELL AND PRESCRIPTIVE ELEMENT :  
-----X

STATEMENT OF UNITED STATES POSTAL SERVICE  
REFUSAL TO ACCEPT DEPOSIT OF MAIL

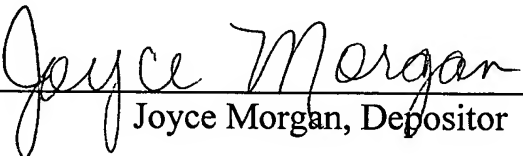
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I deposited the subject patent application with the United States Postal Service on Monday, November 19, 2001, using express mail service. The patent application parcel was addressed to:

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231.

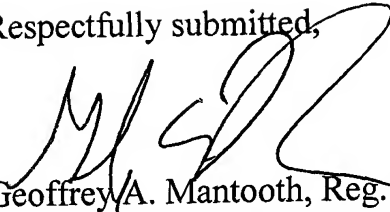
The Postal Service refused the parcel because it was addressed to zip code prefix 202. The parcel was returned on Wednesday, November 21, 2001. I was off work that day. The following two days, Thursday and Friday, were the Thanksgiving holidays and our firm was closed.

  
\_\_\_\_\_  
Joyce Morgan, Depositor

*Receipt date granted  
is 11/19/01.  
J. Chang  
from OPAA*

1001-2937001

Respectfully submitted,



Geoffrey A. Mantooth, Reg. No. 32,042  
Decker, Jones, McMackin, McClane,  
Hall & Bates  
Burnett Plaza  
801 Cherry Street, Suite 2000  
Fort Worth, Texas 76102  
(817) 336-2400  
(817) 336-2181 FAX

Attorney for Applicant

6645.37001/195285

FOOTNOTES